

# **Estimating climate sensitivity using a two-zone energy balance model and satellite observations**

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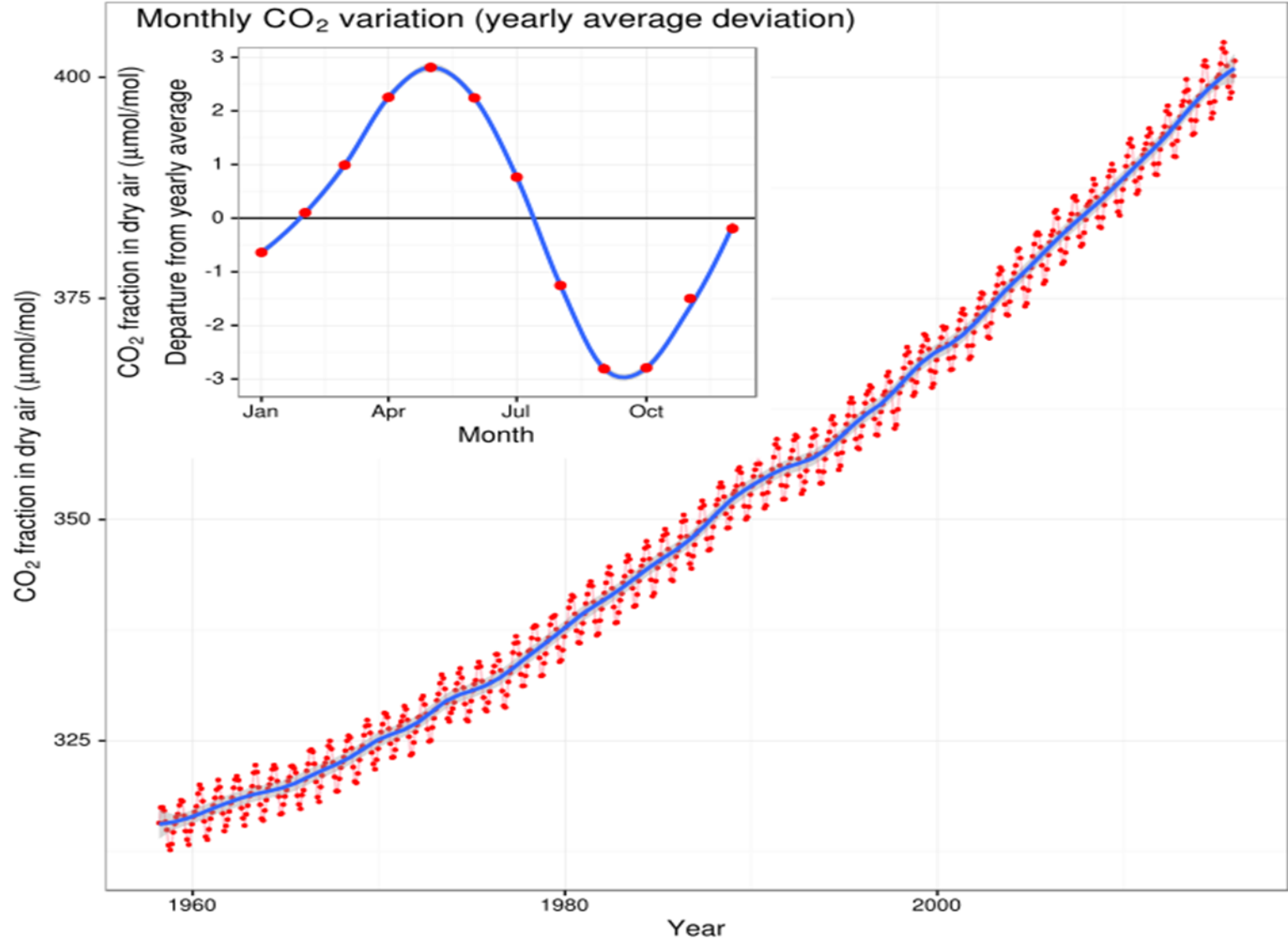
Symposium in Honor of Retirement of  
Huug van den Dool  
NCWCP, March 3<sup>rd</sup>, 2017

**Oerlemans J. and van den Dool, H. M. (1978). Energy balance climate models: Stability experiments with a refined albedo and updated coefficients for infrared emission. J. Atmos. Sci., 35, 371-381.**

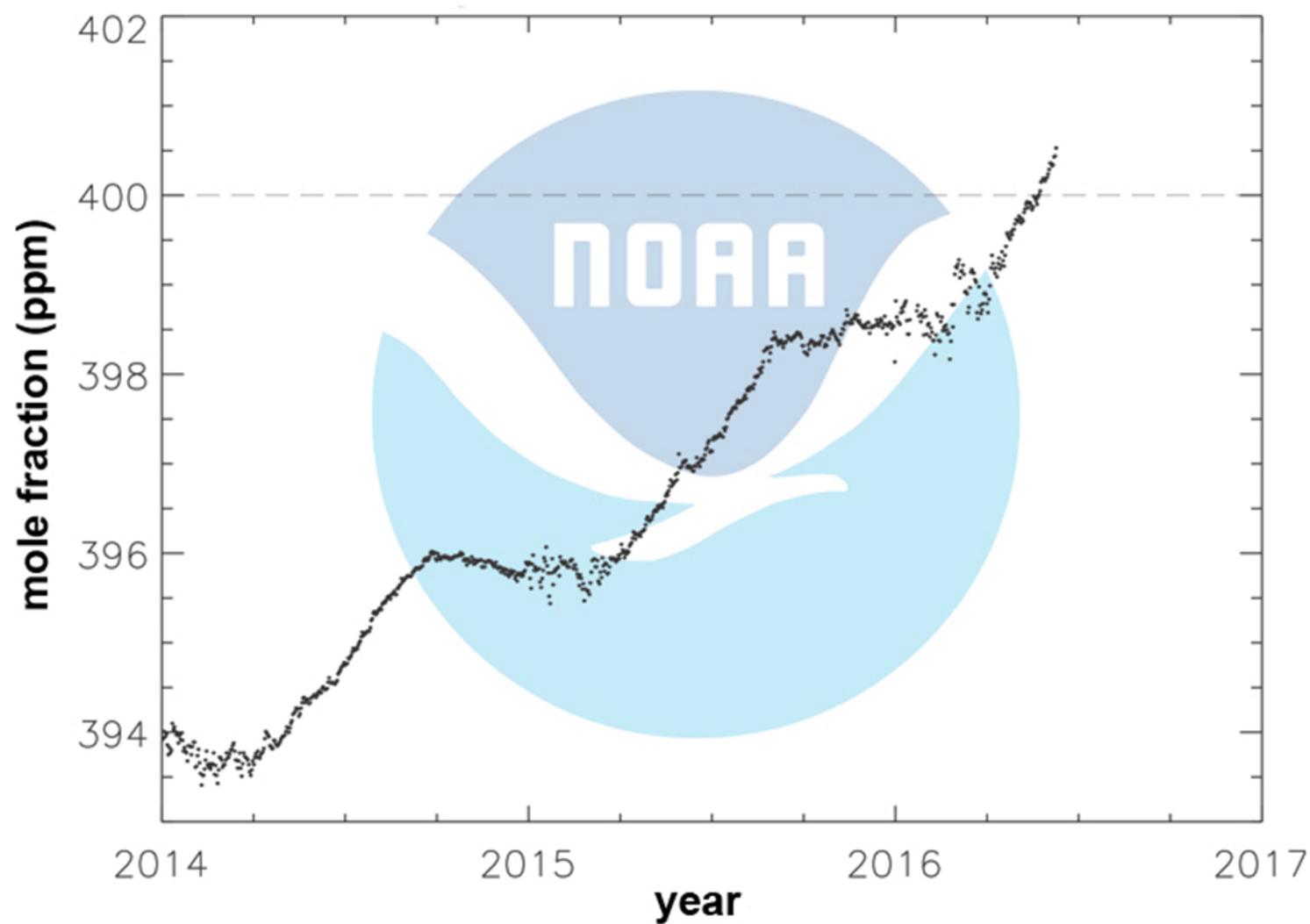
**van den Dool, H.M. (1980). Experiments with clouds in an energy balance climate model. Annalen der Meteor. (Neu Folge), 15, 87-90.**

**van den Dool, H. M. (1980). On the role of cloud amount amount in an energy balance model of the earth's climate. J. Atmos. Sci., 37, 939-946.**

# Mauna Loa monthly mean CO<sub>2</sub> concentration 1958-2015



## Daily average carbon dioxide at South Pole



**Climate sensitivity** is the equilibrium (steady-state) change in the annual global-mean surface temperature (GMST) following a doubling of the atmospheric equivalent CO<sub>2</sub> concentration.

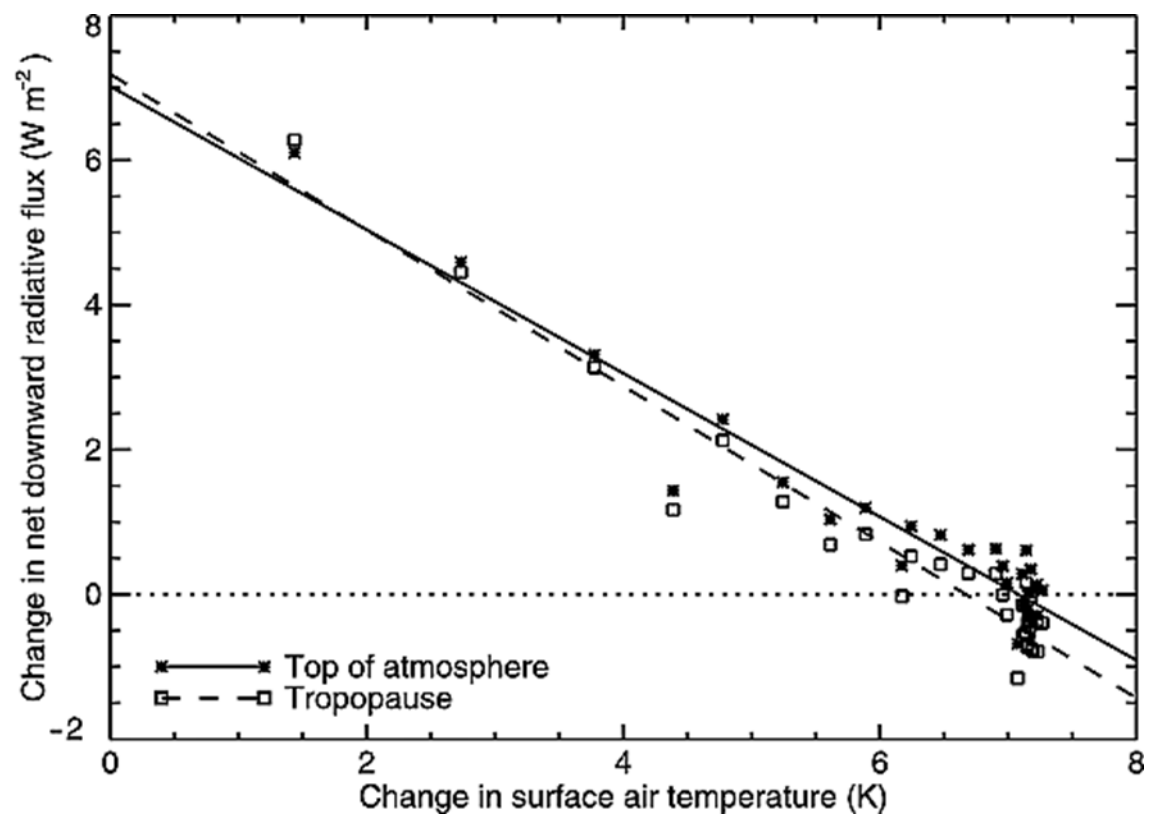
In IPCC (2013), the effective radiative forcing for a doubled CO<sub>2</sub> concentration is given as 3.7 W m<sup>-2</sup>. This value will be adopted here; thus,

$$\Delta Q = 3.7 \text{ W } m^{-2}$$

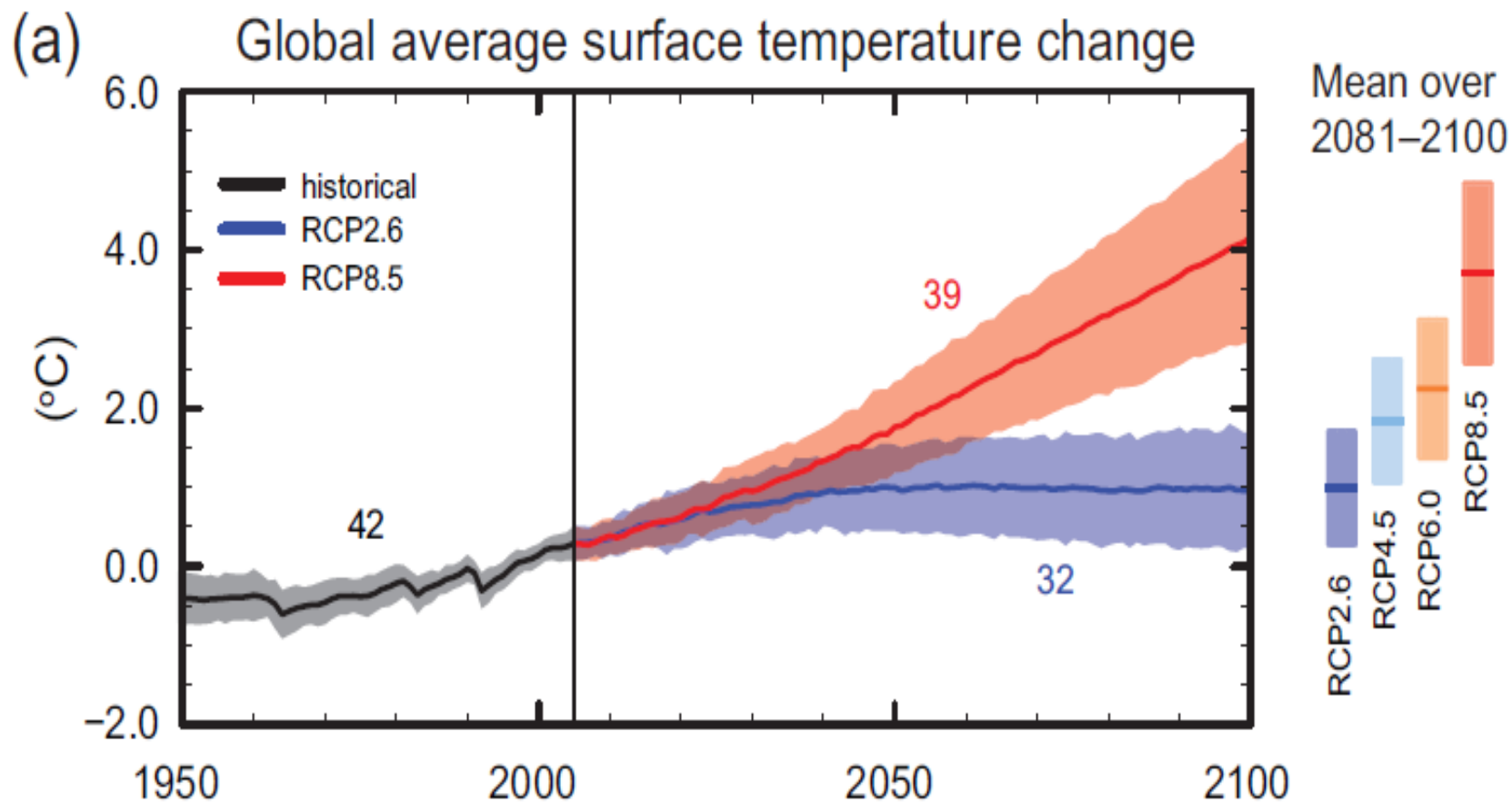
## Climate sensitivity estimates

	Range	Best Estimate
Charney Report (1979)	1.5 – 4.5°C	3.0°C
IPCC AR4 (2007)	2.0 – 4.5°C	3.0°C
IPCC AR5 (2013)	1.5 – 4.5°C	None given

# Estimating climate sensitivity using GCMs







Water vapour feedback  
(amplification of CO<sub>2</sub>-induced  
warming by the resulting increase in  
atmospheric water vapour)  
is the primary source of global  
warming in GCMs.

What about the real climate system?

# **Estimating climate sensitivity using simple energy balance models and satellite observations**



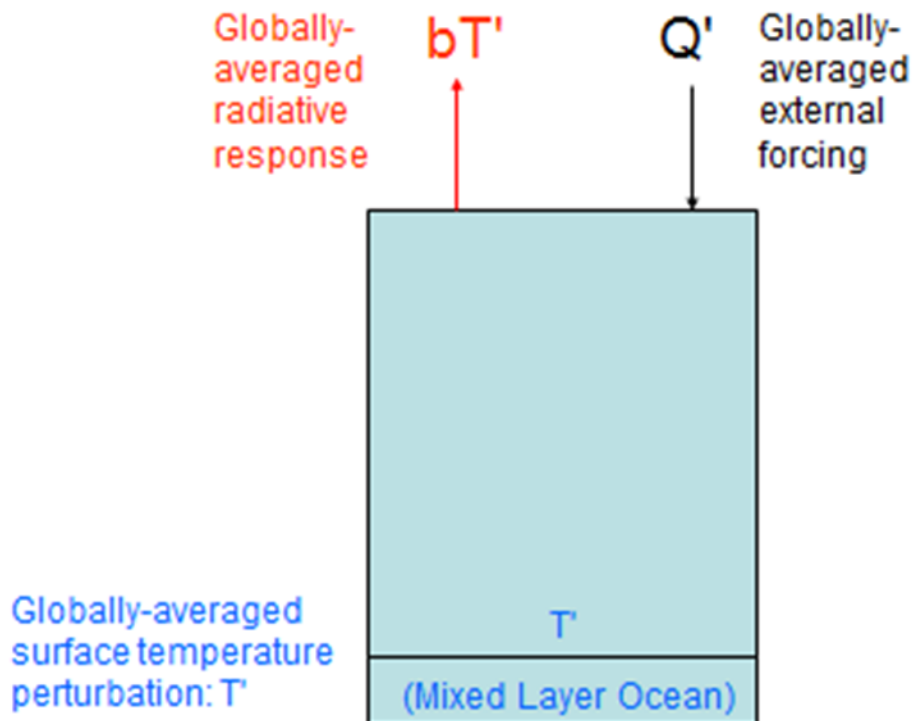
## Radiative Response Coefficient ( $b$ ):

$$b \equiv \frac{dFlux \uparrow}{dT}$$

Estimates of  $b$  can be obtained by linearly regressing fluctuations in upward (LW+SW) radiative flux at TOA, from **observations** or from **non-equilibrium GCM output**, against fluctuations in surface temperature.

# The Zero-Dimensional Energy Balance Model (ZDM)

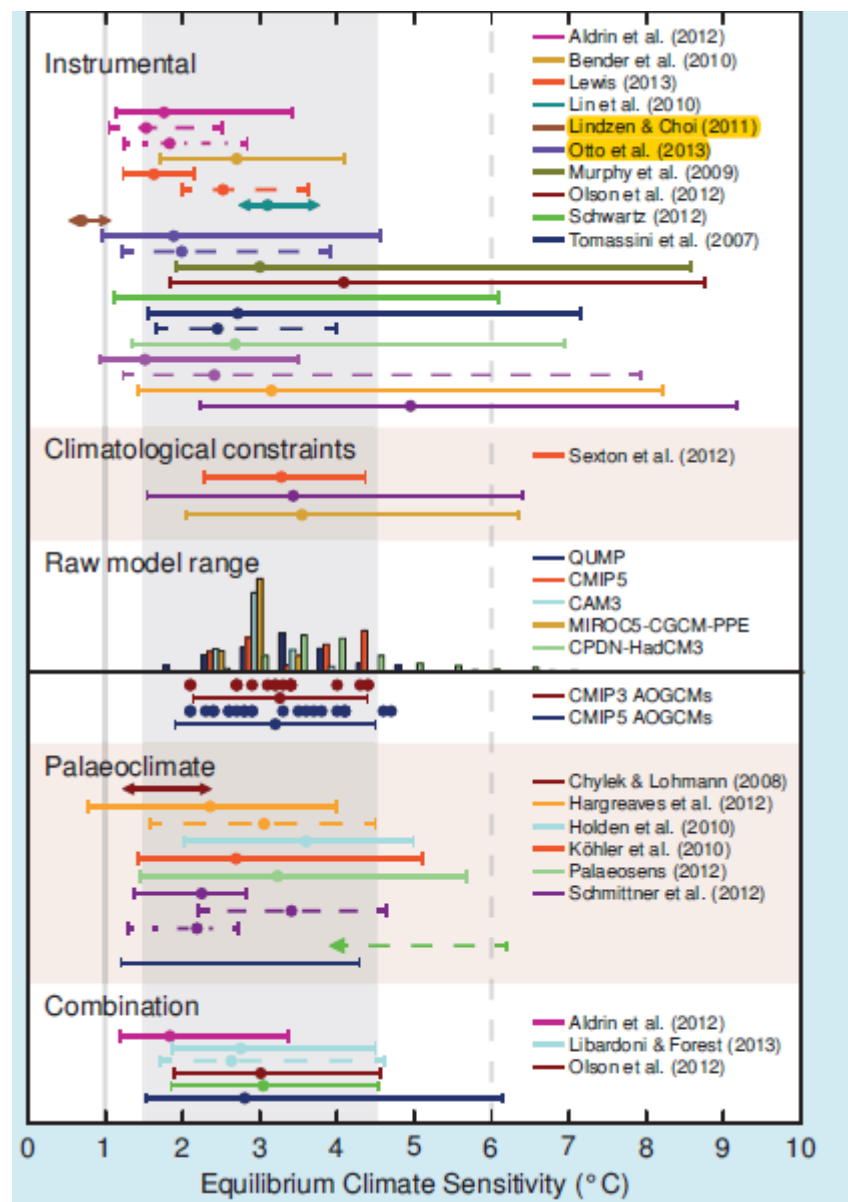
## The Zero-Dimensional Model (ZDM)



**If we take  $b$  as given by satellite observations, the ZDM expression for climate sensitivity is given by Eq. (4):**

$$T_{ZDM} = \frac{Q}{b}$$



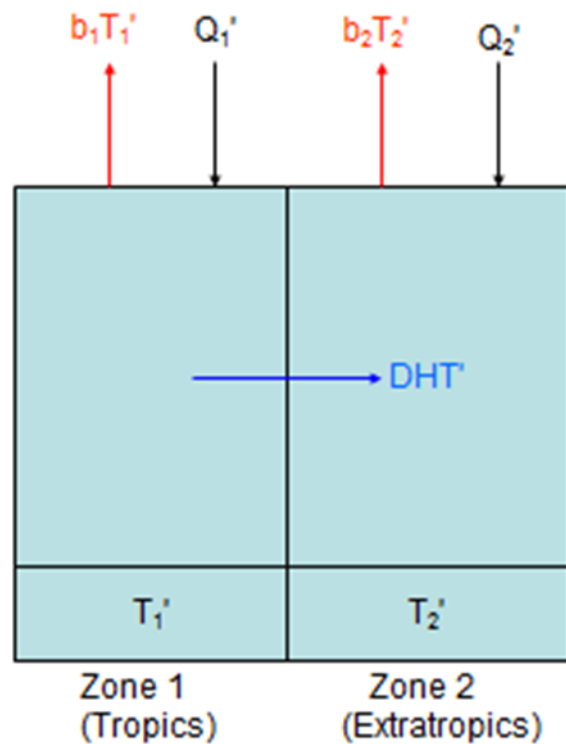


# **Two-zone(tropical/extratropical) Energy Balance Models**

Model A (Lindzen and Choi, 2011)

Model B (Bates, 1999, 2012, 2016)

## The Two-Zone Models (A and B)



# Energy Equations for Model B

Primes refer to small perturbations about a basic equilibrium climate state (all quantities are running annual means evolving slowly on long time scales):

$$c_0 \frac{dT_1}{dt} = Q_1 - b_1 T_1 - d T_1 - T_2$$

$$c_0 \frac{dT_2}{dt} = Q_2 - b_2 T_2 + d T_1 - T_2$$

# Sensitivity Analysis

The sensitivity of Model B is found by imposing a step-function forcing

$$Q_1, Q_2 = Q, Q 1(t)$$

where  $Q=3.7 \text{ Wm}^{-2}$

and taking the equilibrium solution at  $t = \infty$ .

Using  $T$  to denote global mean increments at equilibrium,  
 e.g.,  $T = \frac{T_1 + T_2}{2}$ , it is found that

$$T_B = 1 + X T_A$$

where

$$T_A = \frac{Q}{b_1 + b_2}$$

$$X = \frac{1}{S_2} \frac{b_1 b_2}{2}$$

It is seen that  $X > 0$  and  $T_B > T_A$  under two  
 circumstances:

$$b_1 < b_2 \text{ or } d$$

Under general circumstances,  $X$  can be large and  
 $T_B$  and  $T_A$  can be quite different.

## Parameter Values

Values of the tropical radiative response coefficient ( $b_1$ ) are given by

- (i) **Satellite** observations;
- (ii) GCMs run in **AMIP** mode  
(fixed SST);
- (iii) GCMs run in **CMIP** mode  
(SSTcalculated using a coupled ocean model)

Values taken from

Lindzen and Choi (2011)

Mauritsen and Stevens (2015)

**Table 1.** Linear Regression Slopes (Units:  $\text{W m}^{-2} \text{K}^{-1}$ ) of Anomalies in Outgoing TOA Radiation (LW, SW, and LW + SW) Against Surface Temperature in the Tropics, as Determined From Observations  $[(\text{Slope})_{\text{obs}}]$ , From AMIP GCMs  $[(\text{Slope})_{\text{AMIP}}]$ , and From CMIP GCMs  $[(\text{Slope})_{\text{CMIP}}]$ <sup>a</sup>

	$(\text{Slope})_{\text{obs}}$	$(\text{Slope})_{\text{AMIP}}$	$(\text{Slope})_{\text{CMIP}}$
LC11, LW	$5.3 \pm 1.3$	1.8 {−0.8, 4.4}	3.0 {0.6, 5.8}
LC11, SW	$1.9 \pm 2.6$	−2.9 {−3.8, −0.1}	1.2 {−3.3, 3.9}
LC11, LW + SW	$6.9 \pm 1.8$	−1.1 {−4.7, 1.0}	4.2 {0.5, 8.1}
MS15, LW	$4.1 \pm 0.8$	2.7 {0.8, 5.4}	2.2 {0.2, 4.2}
MS15, SW	$−0.9 \pm 0.9$	−1.4 {−4.3, 1.8}	−1.2 {−4.6, 0.8}
MS15, LW + SW	$3.2 \pm 1.0$	1.3 {−1.1, 4.7}	1.0 {−1.1, 3.0}

<sup>a</sup>The uncertainty interval in the first column of figures is  $\pm 1$  standard error; values in curly brackets in the other columns are the outer limits of the quantity in question. The slopes of *Lindzen and Choi* [2011; LC11] are evaluated using data for the oceanic part of the latitude band (20°S–20°N), while those of *Mauritsen and Stevens* [2015; MS15] are evaluated using data for the entire latitude band (20°S–20°N).



### Estimating $b_2$

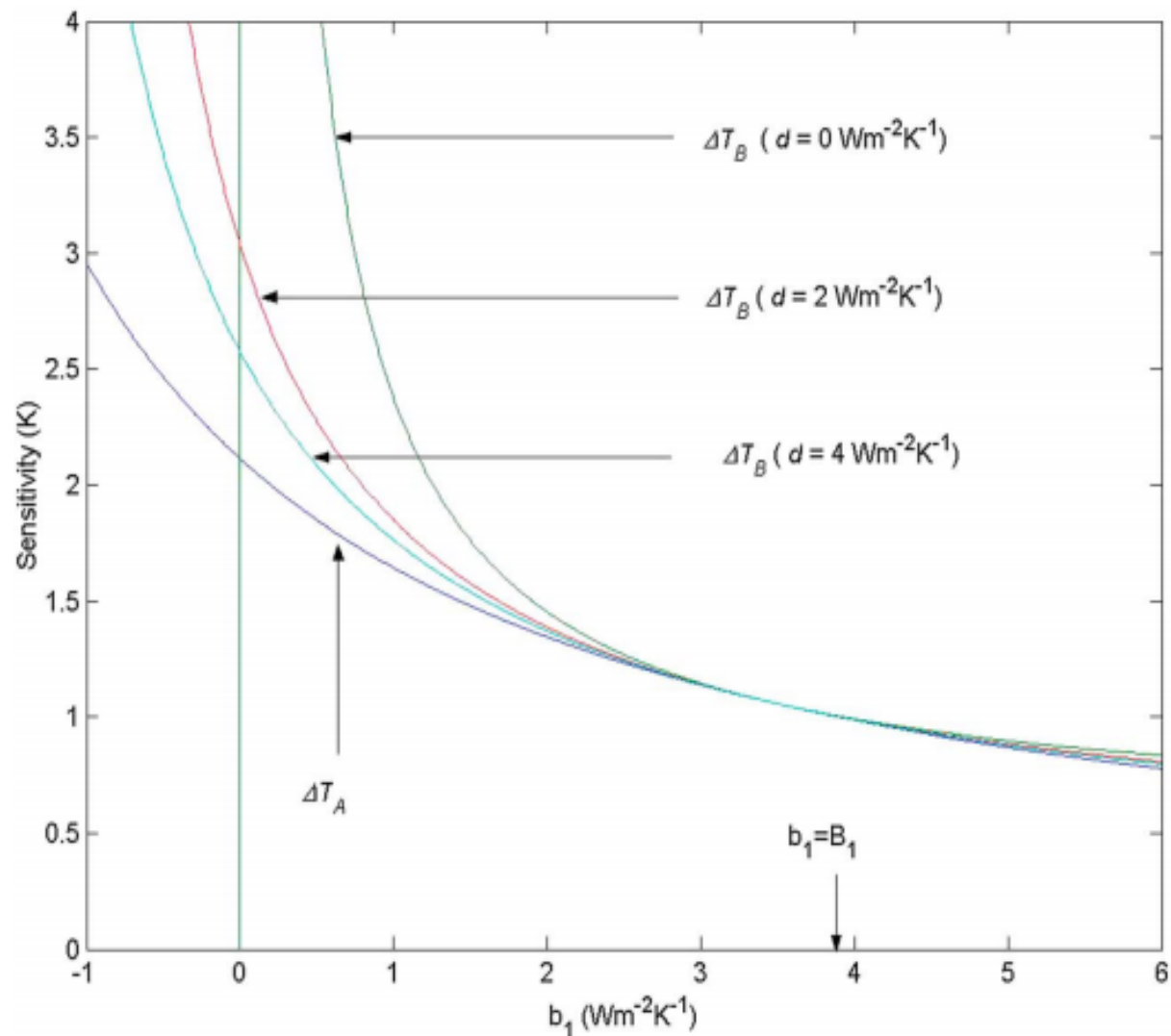
It is difficult to estimate  $b_2$  observationally from satellites because of the predominance of noise in surface temperatures over land.

Lindzen and Choi (2011) assumed that  $b_2$  is given by the Planck value corresponding to the extratropical emission temperature (249 K), based on the low specific humidity and approximately unvarying 50% cloud cover in this region; this gives  $b_2 = 3.5 \text{ W m}^{-2} \text{ K}^{-1}$ .

Pierrehumbert [1995, Figure 2] has used a GCM radiation code to evaluate the clear-sky OLR as a function of low-level air temperature for various relative humidities. Choosing a low-level temperature characteristic of the extratropics (280 K) and the 75% RH curve, his calculations gives  $b_2 \approx 2.1 \text{ W m}^{-2} \text{ K}^{-1}$ .

Langen and Alexeev [2005], in aquaplanet experiments using two GCMs without an iris effect, found an extratropical LW response coefficient of approximately  $2 \text{ W m}^{-2} \text{ K}^{-1}$ .

**Guided by these results,  $b_2$  is allowed to vary in the range (2.0, 3.5)  $\text{W m}^{-2} \text{ K}^{-1}$ .**

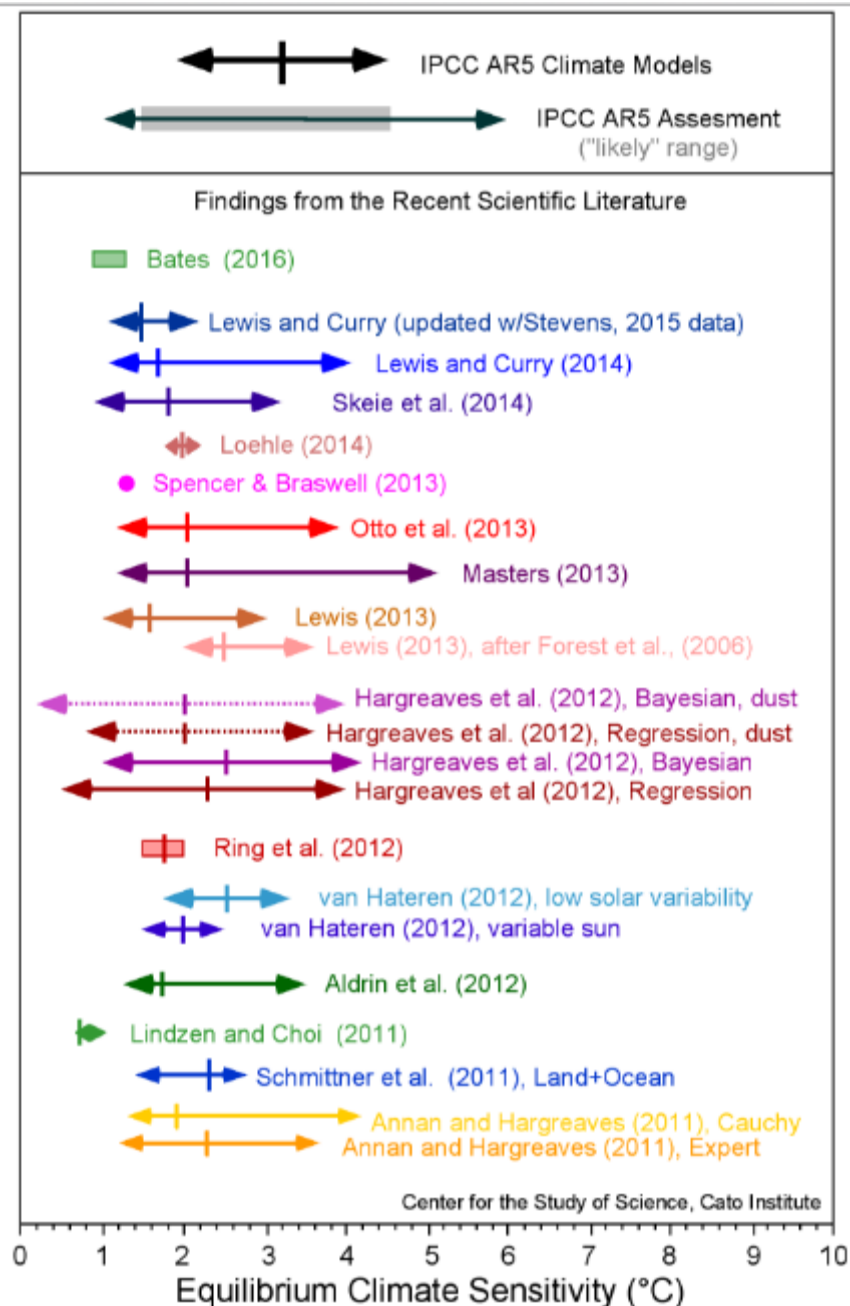


**Figure 1.** EfCS provided by Model A ( $\Delta T_A$ ) and Model B ( $\Delta T_B$ ) as functions of the tropical radiative response coefficient ( $b_1$ ) with the extratropical radiative response coefficient ( $b_2$ ) set at  $3.5 \text{ W m}^{-2} \text{ K}^{-1}$  and the DHT coefficient ( $d$ ) set at (0, 2, 4)  $\text{W m}^{-2} \text{ K}^{-1}$ . Forcing:  $\Delta Q = 3.7 \text{ W m}^{-2}$ . See text for further details.

**Table 2.** EfCS as Given by Model B for the Mean Observational Range of  $b_1$  and the Best Estimates of the Likely Ranges of  $(b_2, d)^a$

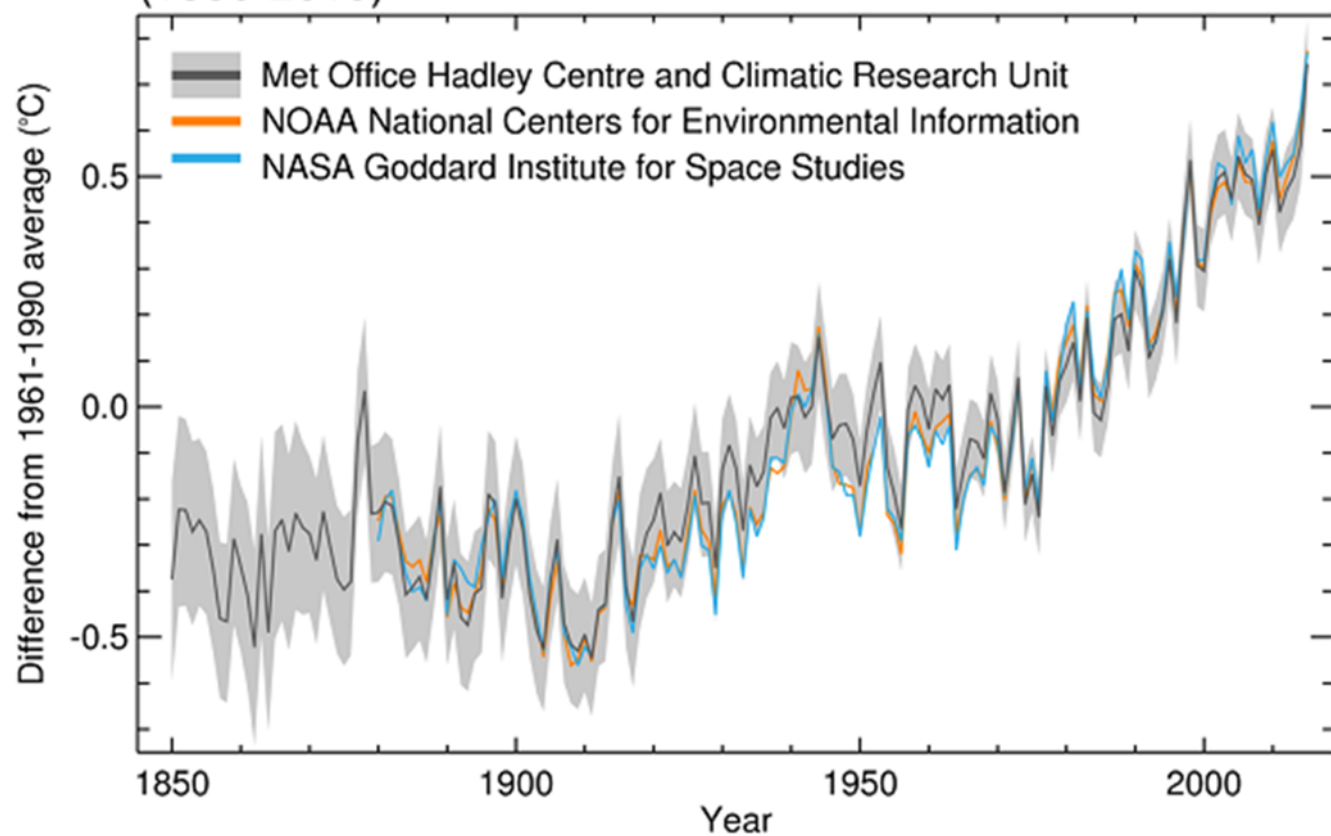
$(b_1, b_2, d)$	$\Delta T_B$
(4.1, 3.5, 2.0)	0.977
(4.1, 3.5, 4.0)	0.976
(5.3, 3.5, 2.0)	0.860
(5.3, 3.5, 4.0)	0.854
(4.1, 2.0, 2.0)	1.279
(4.1, 2.0, 4.0)	1.254
(5.3, 2.0, 2.0)	1.123
(5.3, 2.0, 4.0)	1.083

<sup>a</sup>Units of  $(b_1, b_2, d)$ :  $\text{W m}^{-2} \text{K}^{-1}$ . Units of  $\Delta T_B$ :  $^{\circ}\text{C}$ . Forcing:  $\Delta Q = 3.7 \text{ W m}^{-2}$ . See text for further details.

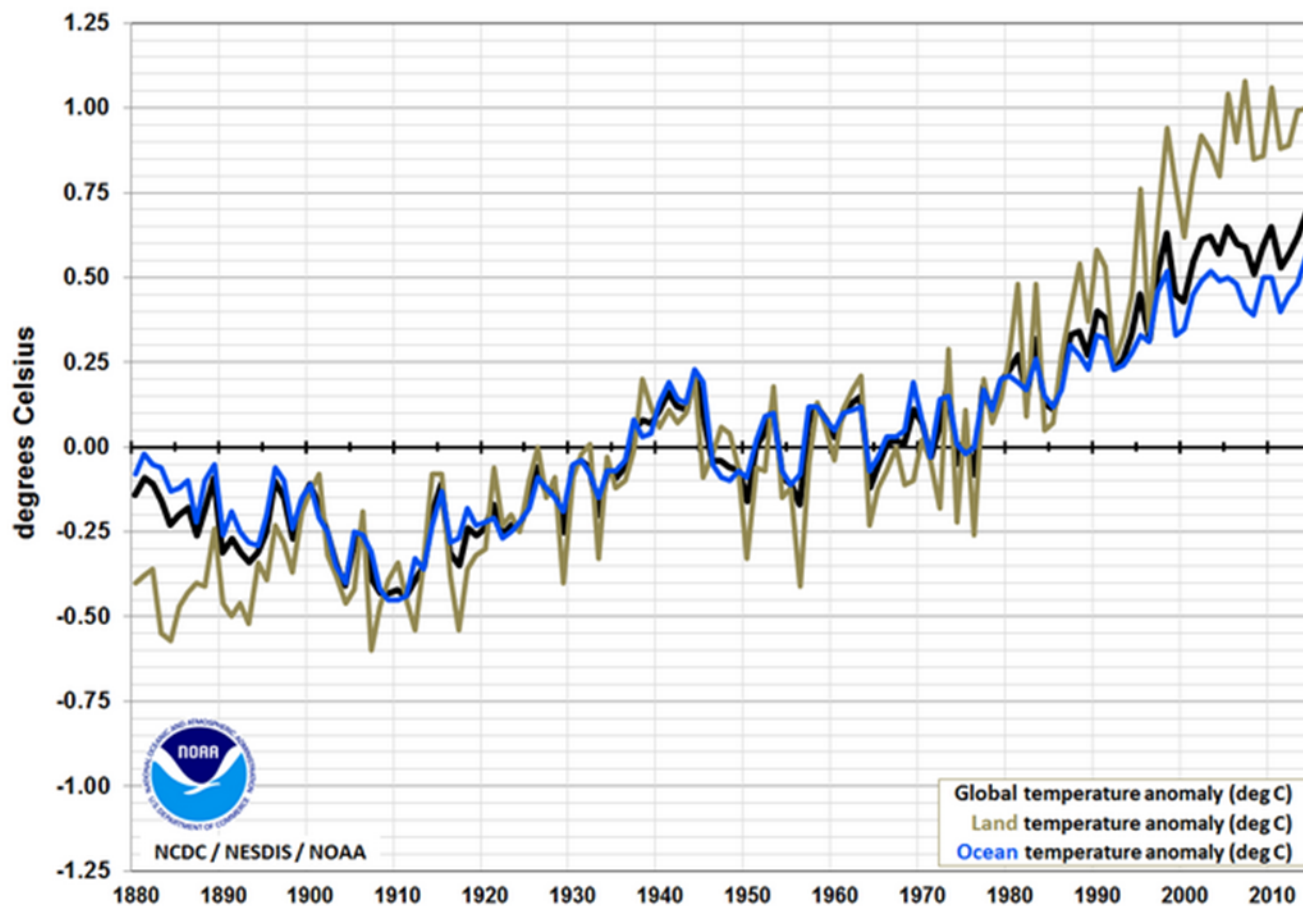


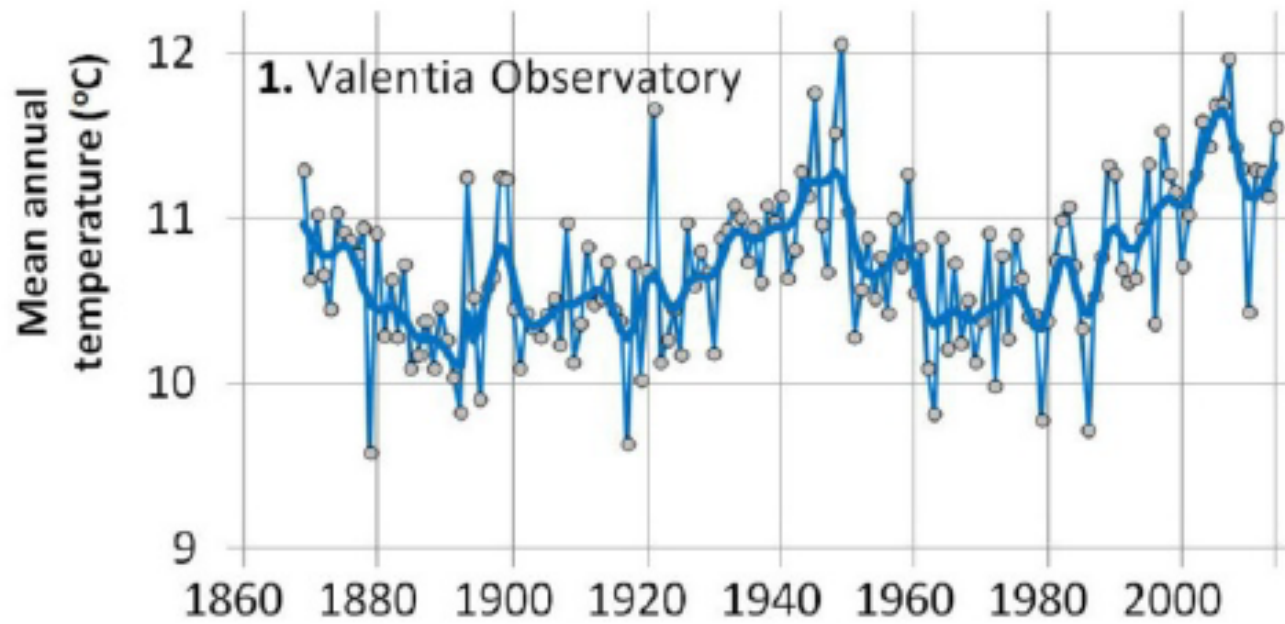
**Is a climate sensitivity estimate  
of 1°C compatible with the  
observed evolution of the GMST  
over the period of the global  
instrumental record?**

# Global average temperature anomaly (1850-2015)



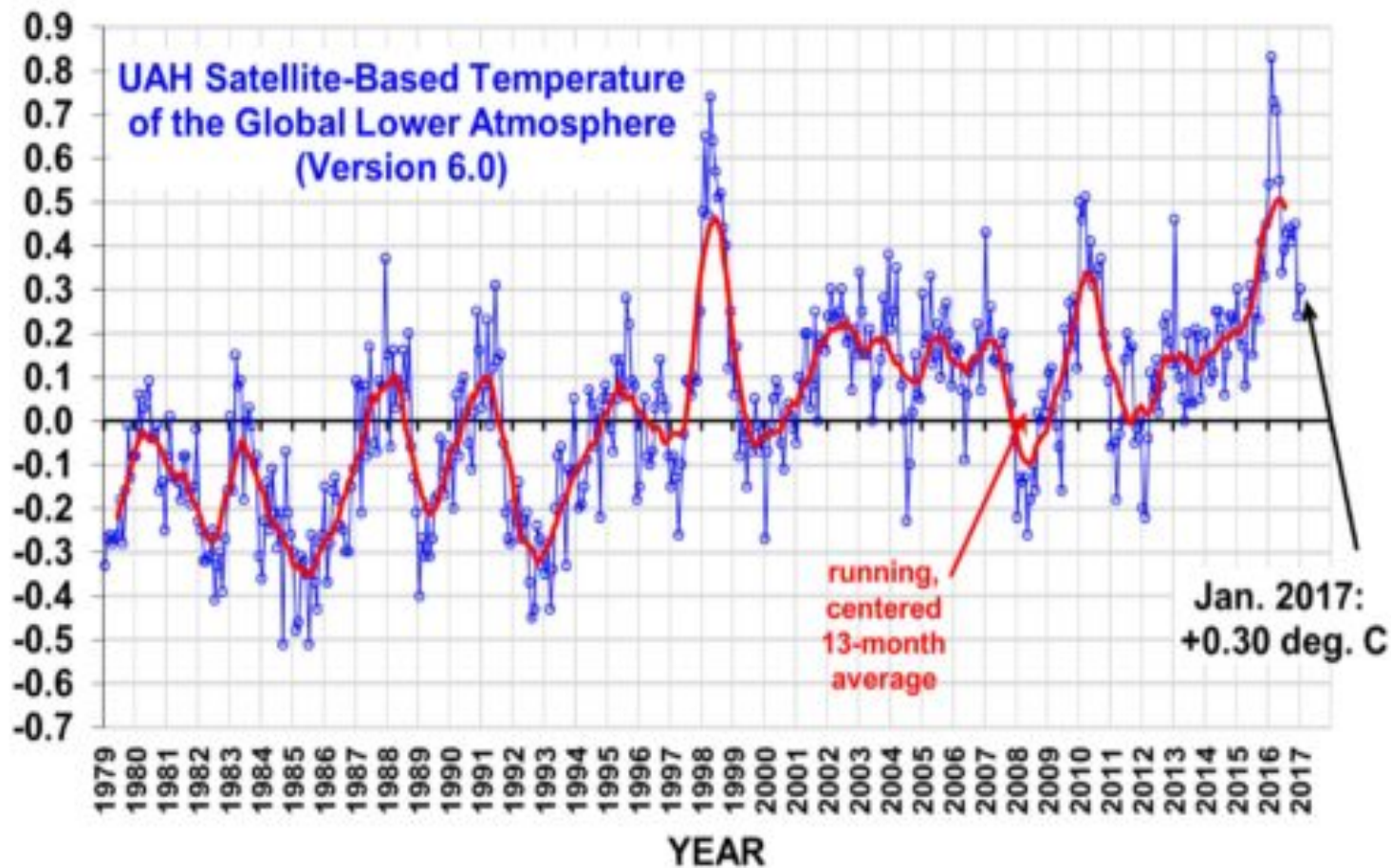
## Annual Global Temperature (Land, Ocean, and Combined)



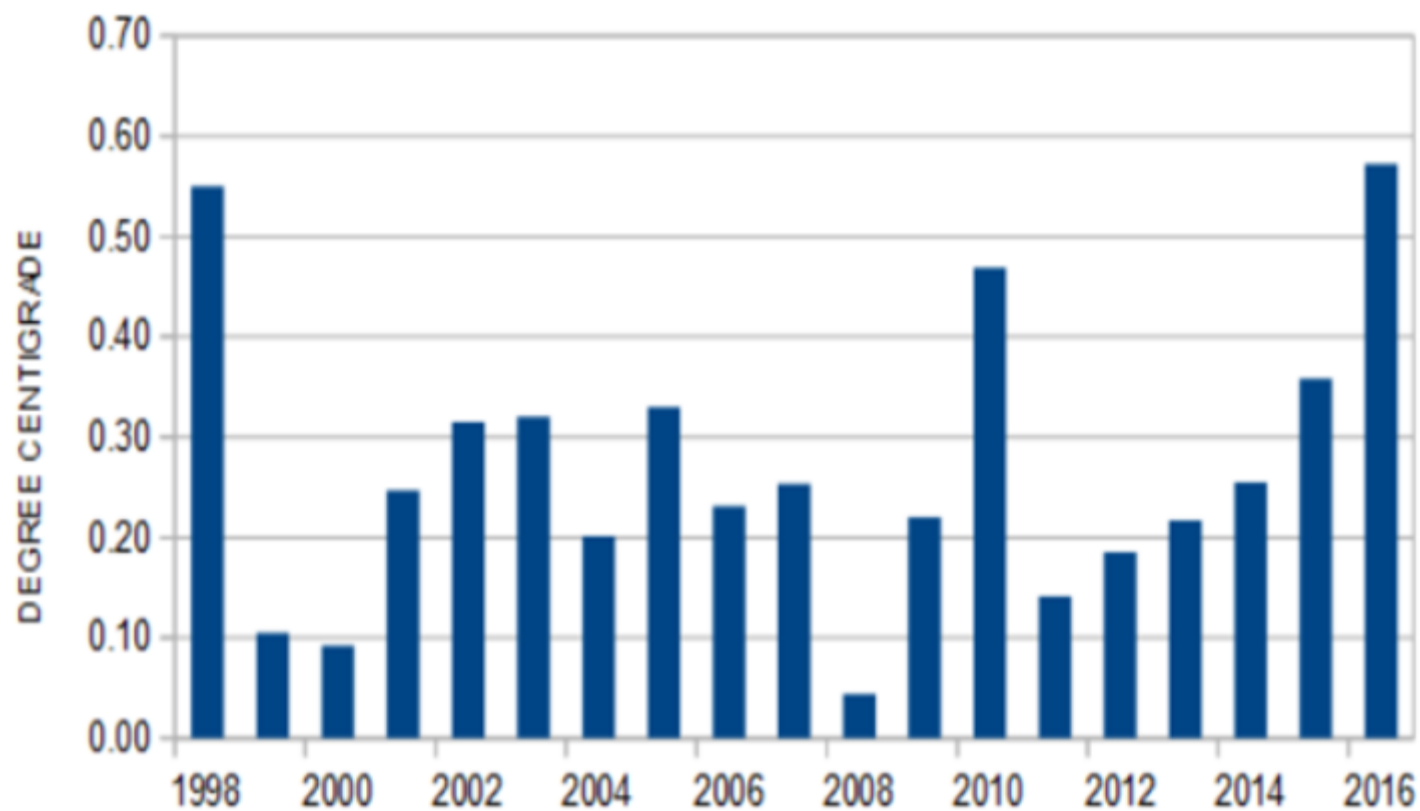




T Departure from '81-'10 Avg. (deg. C.)



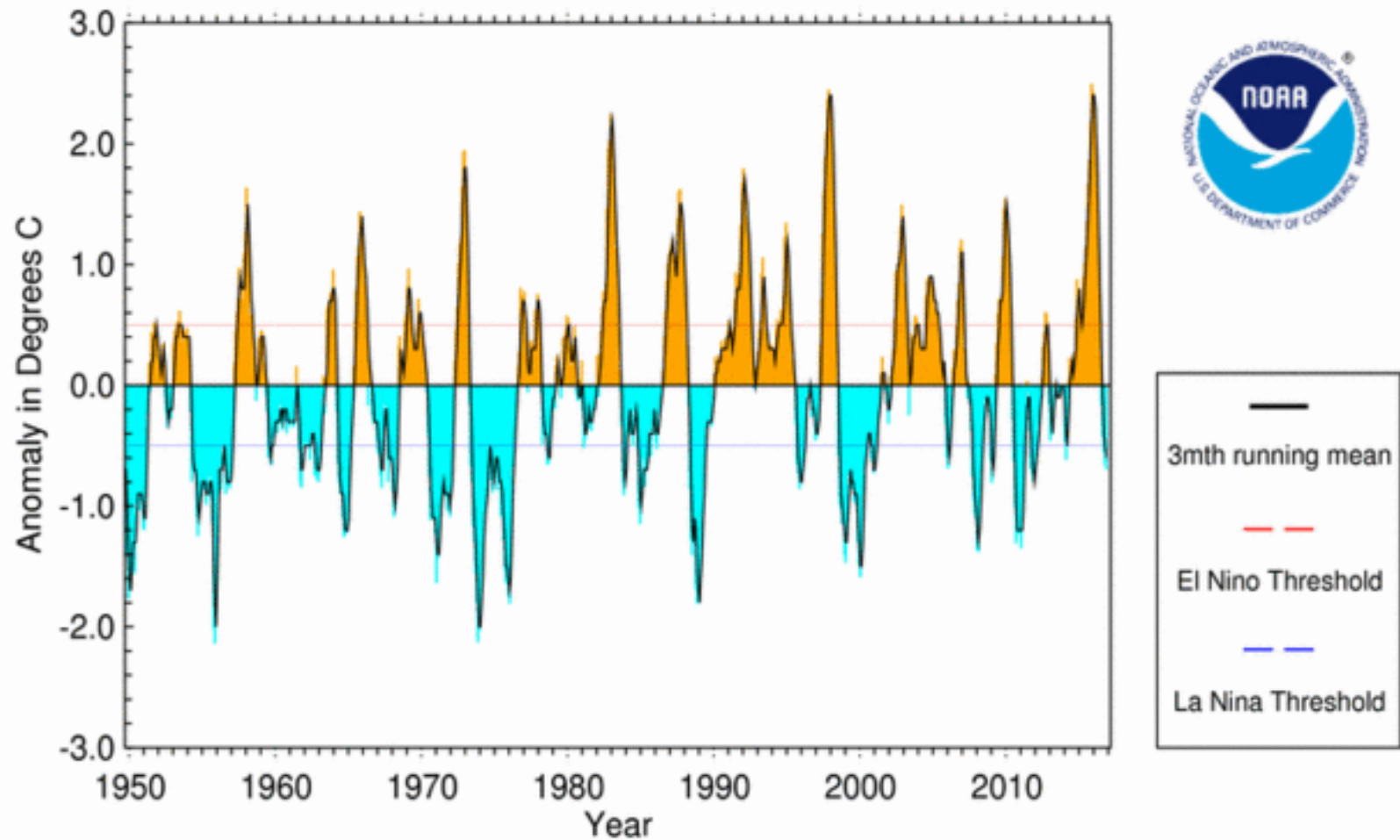
## RSS GLOBAL TLT TEMPERATURE ANOMALIES



How Much of the Marked  
Warming of 2015-2016 is due to  
Greenhouse Gas Increase and  
How Much is Due to Natural  
Variability?

## (a) The Role of ENSO

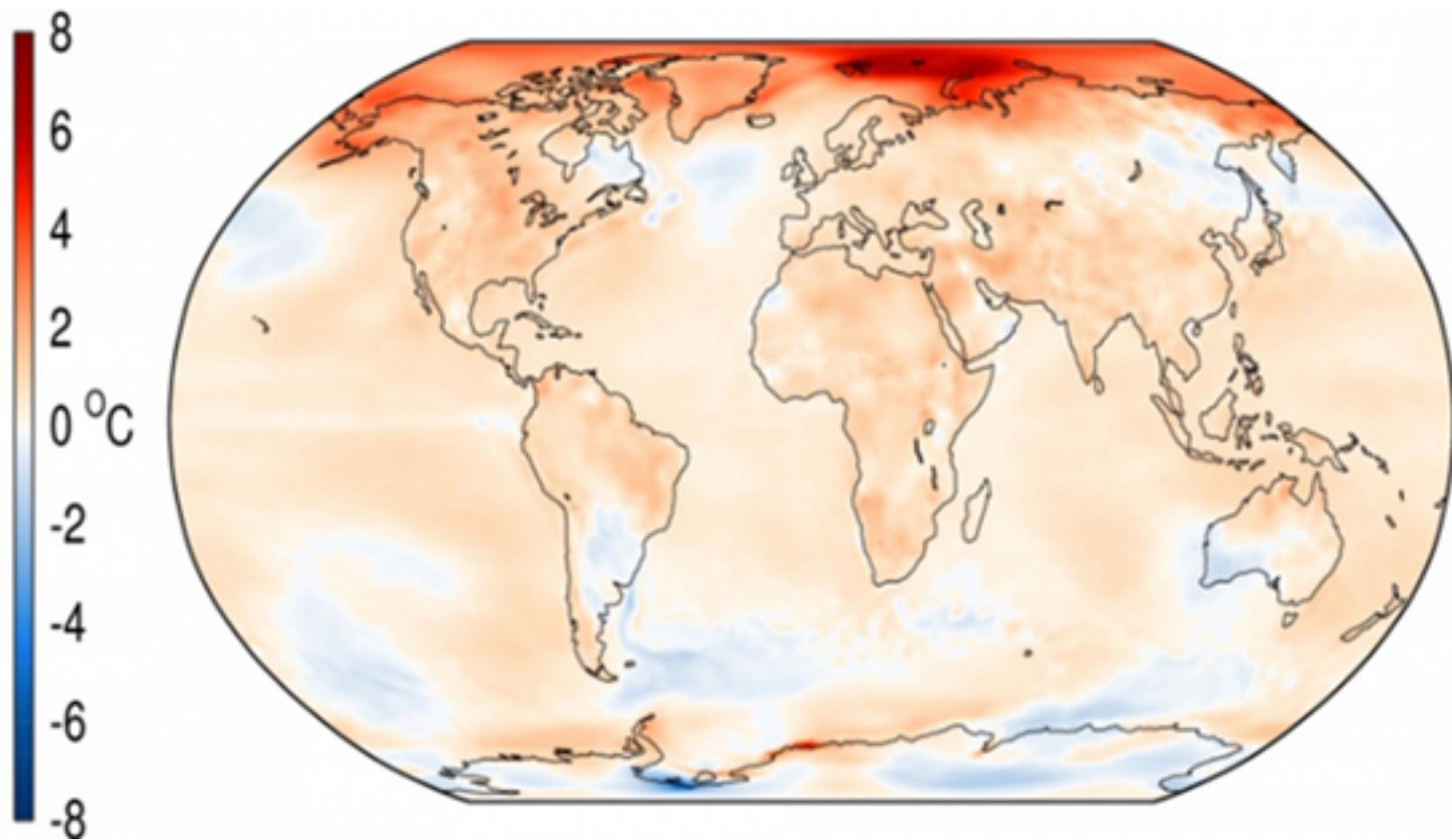
## SST Anomaly in Nino 3.4 Region (5N-5S,120-170W)



National Centers for Environmental Information / NESDIS / NOAA

(b) The Current warming is much more asymmetric than is expected from the approximately symmetric CO<sub>2</sub> increase.

# Surface air temperature anomaly for 2016 relative to the average for 1981-2010



# Warming Trends in the Satellite Era 1979-2014 (°C/decade)

	Conventional surface datasets (CRUTem4 and HadSST3)	Reanalysis dataset (Cederlöf et al., 2016)	Satellite dataset (lower trop; Spencer et al., 2015)
Land	0.26 (surface)	0.25 – 0.27 (surface)	0.19 (lower trop)
Ocean	0.12 (surface)	0.06 – 0.12 (surface)	0.08 (lower trop)
Global	0.16 (surface)	0.08 – 0.11 (mid. trop)	0.11 (lower trop)

Cederlöf et al. (2016): The mid-tropospheric trend is similar over land and ocean. It agrees closely with the ocean surface trend. A land surface trend substantially in excess of the mid-tropospheric trend, as above, is suggestive of a problem with the land surface temperatures.

Cederlöf et al. strongly suggest using tropospheric temperature trends from reanalyses in climate sensitivity studies.



## **Conclusions**

- 1) A two-zone (tropical/extratropical) energy balance model of the climate system that includes inter-zone energy transport has been constructed and its properties examined.**
- 2) Satellite observations indicate that in the tropics the real climate system is radiatively more stable (i.e., emits more energy to space for a given surface temperature increase) than is indicated by the GCMs.**
- 3) Inserting the observed value of the tropical radiative response coefficient and the best estimates of the other parameters into the two-zone model gives a climate sensitivity of  $\sim 1^{\circ}\text{C}$ .**
- 4) This value of climate sensitivity is not inconsistent with the observed global temperature record.**

## References

Bates, J.R. (2016). Estimating climate sensitivity using two-zone energy balance models. *Earth and Space Science*, **3**, 207–225, doi:10.1002/2015EA000154.

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Cederlöf, M, Bengtsson, L. and Hodges, K. (2016). Assessing atmospheric temperature data sets for climate studies. *Tellus A*, **68**, 31503, <http://dx.doi.org/10.3402/tellusa.v68.31503>

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<http://dx.doi.org/10.1016/j.earscirev.2015.08.010> doi:10.1016/j.earscirev.2015.08.010

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